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U.S. Patent and Tradement Office; U.S. DEPARTMENT OF COMMERCE
are required to respond to a collection of information unless it displays a yelld OMB control number. Under the Paperwork Reduction Act of 1995, no persons Application Number 09/807,843 TRANSMITTAL Filing Date . June 30, 2000 FORM First Named Inventor Kla Silverbrook Art Unit (to be used for all correspondence after initial filling) Examiner Name Naravanswamy Subramanian -Attorney Docket Number 18 Total Number of Pages in This Submission NPA083US **ENCLOSURES** (Check all that apply) After Allowance communication Fee Transmittal Form Drawing(s) to Technology Center (TC) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a After Final Proprietary Information Provisional Application Power of Attorney, Revocation Affidavits/declaration(s) Change of Correspondence Address Status Letter Other Enclosure(s) (please Terminal Disclaimer Extension of Time Request Identify below): Request for Refund Express Abandonment Request CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Kia Silverbrook, Paul Lapstun and Jacqueline Anne Lapstun Individual name Signature Date August 13, 2004 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Kia Silverbrook, Paul Lapstun and Jacqueline Anne Lapstun Signature August 13, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will very depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



SILVERBROOK RESEARCH Pty Ltd

393 Darling Street Balmain NSW 2041 Australia PO Box 207 Balmain NSW 2041 Australia Phone: +61 2 9818 6633 Fax: +61 2 9555 7762 Email: info@silverbrookresearch.com

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FACSIMILE MESSAGE

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Date

August 13, 2004

To:

Group Art Unit 3624

Fax No.:

0011 1 703 872 9306

Subject:

United States Patent Application No. 09/607,843

Inventors/Assignors: Kia Silverbrook, Paul Lapstun and Jacqueline Anne

Lapstun

Assignee: SILVERBROOK RESEARCH PTY LTD

Our Ref:

NPA063US

Total Number of Pages (including this): 18

Attached is a Petition to Withdraw Abandonment to the Notice of Abandonment dated July 13, 2004, of Examiner Narayanswamy Subramanian.

Regards

Kia Silverbrook

Paul Lapstun

Jacqueline Anne Lapstun

Encl.

This facsimile contains information that is privileged and confidential, and is intended only for the use of the individual or entity named above. If you have received this facsimile in error, or if the transmission is not complete, please notify us immediately by telephone or facsimile.



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August 16, 2004

Commissioner of Patents
United States Patent and Trademarks Office
P.O. Box 1450
ALEXANDRIA VA 22313-1450
UNITED STATES OF AMERICA

Attn:

Examiner Narayanswamy

Art Unit:

3624

Dear Examiner Narayanswamy

USSN 09/607,843

In the Name of Silverbrook Research Pty Ltd

Inventors:

Kia Silverbrook, Paul Lapstun and Jacqueline Anne Lapstun

Docket No: NPA063 US

In response to the Notice of Abandonment we hereby lodge a petition to WITHDRAW HOLDING OF ABANDONMENT.

The Notice of Abandonment states that a reply to the Office Action mailed on August 13, 2003 was not received by the USPTO. We confirm that the reply was faxed in response to the Office Action mailed on August 13, 2003. We therefore petition the commissioner to WITHDRAW HOLDING OF ABANDONMENT on this application.

The enclosed Declaration of my Patent Administrator, Leonie Frances News, confirms that a response was faxed to the USPTO on September 12, 2003.

We respectfully request favourable consideration of our petition.

Yours sincerely,

Kia Silverbrook

Silverbrook Research Pty Ltd

Encl.

Commonwealth of Australia Statutory Declarations Act 1959

STATUTORY DECLARATION

In the Matter of US Patent Application No. 09/607,843 in the name of Kia Silverbrook, Paul Lapstun and Jacqueline Anne Lapstun

I LEONIE FRANCES NEWS of 393 Darling Street, Balmain, New South Wales, 2041. Australia do solemnly and sincerely declare as follows:

- 1. I am the Patent Administrator of Silverbrook Research Pty Ltd and have held this position since 1 September 1999.
- 2. I have responsibility for maintaining files, preparing responses and forwarding the responses to Office Actions for the United States patent applications of Kia Silverbrook, Paul Lapstun and Jacqueline Anne Lapstun and Silverbrook Research Pty Ltd, including US Patent Application No. 09/607,843 ("The Patent Application".)
- 3. I received a Notice of Abandonment on The Patent Application stating that the reply was not timely filed at the USPTO to Office Action mailed on August 13, 2003.
- 4. I then checked my file for The Patent Application and now state that the reply was faxed to the USPTO on The Patent Application on September 12, 2003. A copy of the Reply sent to the Office Action and all other accompanying documents are attached, together with the fax transmission sheet and Transmittal Form and marked as Exhibit LFN-1.

2

- 5. The facsimile number to which the response to Office Action was sent was correct. A copy of Page 8 of the Office Action is attached, showing the facsimile number the Office Action should have been sent to and marked as Exhibit LFN-2.
- 6. As the facsimile number mentioned on the Office Action did not respond, another number was used, as seen on the Applicants' facsimile transmission sheet and marked as Exhibit LFN-3.

And I make this solemn declaration aware of the penalties for false declarations under section 11 of the Statutory Declarations Act 1959 (Cwth of Australia).

Declared at Sydney)	
This <u>/3 **</u> day of August)	•
2004 by Leonie Frances)	
News)	
	•	Leonie Frances News
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Before me:)	~~~~ 3/

Exhibit LFN-1

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FACSIMILE MESSAGE

Date

September 12, 2003

To:

Group Receptionist Art Unit 3624

Pax No.:

0011 1 703 305 7687 (ast responding) 672.9325

Subject

United States Patent Application No. 09/607,843

Inventors/Assignors: Kia Silverbrook, Paul Lapstun and Jacqueline Anne

Lapstun

Assignee: SILVERBROOK RESEARCH PTY LTD

Our Ref:

NPA063US

Total Number of Pages (including this): 5

Attached is a response to the Office Action, of Examiner Narayanswamy Subramanian, dated

Regards

P. Leonie News

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Lapstun

Assignee: SILVERBROOK RESEARCH PTY LTD

Our Ref.

NPA063US

Total Number of Pages (including this): 5

Attached is a response to the Office Action, of Examiner Narayanswamy Subramanian, dated August 13, 2003.

Fegards

PP.

Leonic News



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Assignee: SILVERBROOK RESEARCH PTY LTD

Our Ref:

NPA063US

Total Number of Pages (including this) : 5

Attached is a response to the Office Action, of Examiner Narayanswamy Subramanian, dated August 13, 2003.

Regards

Leonie News

Silverbrook Research Pty Ltd

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Under the Paperwork	Reduction Act of 1995, no per	U.S. Pater sons are required to respond to a collecti	at and Trademark Off	Rea- 11 C	PTO/SB/21 (08-03) ugh 07/31/2006. OMB 0651-0031 DEPARTMENT OF COMMERCE lays a valid OMB control number		
		Application Number	09/607,843				
TRANSMITTAL FORM (to be used for ell correspondence efter initial filing)		Filing Date	Filing Date June 30, 2000				
		First Named Inventor					
		Art Unit					
		Examiner Name	Narayanswamy Subramanian				
	n Tole Submission 5	Attorney Docket Number		ly Subit	BRIBINALI		
Total Number of Pages in	n This Submission		NPA063US				
ENCLOSURES (Check all that apply)							
Extension of Time Express Abandon Information Disclo Certified Copy of Document(s) Response to Miss incomplete Applic	thed by declaration(s) Request ament Request paure Statement Priority Fern	Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Addre Terminal Disclaimer Request for Refund CD, Number of CD(s) sarks Email: kla.silverbrook@ Telephone: 61-2-9818-6	esilverbrookreaesir	Group ppeal Co Appeals ppeal Co ppeal Nor opnietary atus Lett ther Ends entify bel	osure(s) (please		
under 37 C	CFR 1.52 or 1.53						
	SIGNATURE	OF APPLICANT, ATTORNE	Y, OR AGEN	T	· · · · · · · · · · · · · · · · · · ·		
Firm Kla Silverbrook, Paul Lapstun and Jacqueline Anne Lapstun or c/Silverbrook Research Pty. Ltd. Individual name 393 Darling Street, Balmain, NSW, 2041, Australia							
Signature and Provident							
Date September 12, 2003							
CERTIFICATE OF TRANSMISSION/MAILING							
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.							
Typed or printed name Kia Silverbrook, Paul Lapstun and Jacqueline Anne Lapstun							
Signature	ans 1	Par 1. Myl		Date	September 12, 2003		

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and aubmitting the completed application from to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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In the United States Patent and Trademark Office

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AUG 1 2 2004

Serial Number:

09/607,843

Application. Filed:

June 30, 2000

Applicant:

Kia Silverbrook, Paul Lapstun and Jacqueline Anne Lapstun

Application. Title:

METHOD AND SYSTEM FOR BANKING

Examiner/GAU:

Narayanswamy Subramanian/3624

Dated

September 12, 2003

At

Balmain NSW Australia

Docket No.

NPA063US

AMENDMENT A

Assistant Commissioner for Patents Washington, District of Columbia 20231

Dear Sir:

In response to the Office Action of August 13, 2003, please amend the above-identified application as follows:

Appln No. 09/607,843 Audt. Dated September 12, 2003 Reply to Office action of August 13, 2003

2

REMARKS/ARGUMENTS

1. The Examiner has suggested that the claims contain six separate inventions, namely claims 1, 4 and 5 and their respective dependent claims representing inventions I, II and III respectively and claims 29, 32 and 33 and their respective dependent claims representing inventions IV, V and VI respectively.

The Applicant submits that claim set IV is a system version of claim set I and includes all of the same features and limitations of claim set I. Each of the features are described with very similar wording and if the Examiner conducts a thorough search on claim set I he should not need to perform an additional search for claim set IV.

Similar comments apply in relation to the pairing of claim sets II and V and claim sets III and VI.

- 2. In response to the Examiner's request that one set of claims be elected, the Applicant requests election of claim sets I and IV (ie claims 1-3, 8-28, 29-31 and 36-48).
- 3. Should the Examiner disagree with the above assessment, the Examiner is invited to suggest ways in which the inventions of claim sets I and IV could be "separately usable."

It is respectfully submitted that all of the Examiner's objections have been successfully traversed. Accordingly, it is submitted that the further examination of the application can now proceed.

Very respectfully,

Applicant:

KIA SILVERBROOK

PAUL LAPSTUN

JACQUELINE ANNE LAPSTUN

C/o:

Silverbrook Research Pty Ltd

393 Darling Street

Balmain NSW 2041, Australia

Email:

kia.silverbrook@silverbrookresearch.com

Telephone:

+612 9818 6633

Facsimile:

+61 2 9818 6711



September 12, 2003

SILVERBROOK RESEARCH Pty Ltd

393 Darling Street Balmain NSW 2041 Australia PO Box 207 Balmain NSW 2041 Australia Phone: +61 2 9818 6633 Fax: +61 2 9818 6711 Email: info@silverbrookresearch.com ACN 066 573 671

Assistant Commissioner for Patents
Washington, District of Columbia 20231
USA

Dear Sir

United States Patent Application Serial No. 09/607,843

Inventors/Assignors: KIA SILVERBROOK, PAUL LAPSTUN, JACQUELINE ANNE

LAPSTUN

Assignee: SILVERBROOK RESEARCH PTY LTD

Title: "Method and System for Banking"

Our Ref: NPA063US

The status of the above application has changed from "Small Entity" to "Large Entity".

Please update your records accordingly.

Yours faithfully

Kia Silverbrook

Paul Lapstun

Jacqueline Anne Lapstun

Exhibit LFN-2

Application/Control Number: 09/607,843

Art Unit: 3624

Page 8

supervisor, Vincent Millin can be reached at (703) 308-1065. The fax number for Formal or Official faxes and Draft or Informal faxes to Technology Center 3600 or this Art Unit is (703) 305-7687. Any inquiry of a general nature or relating to the status of this application should be directed to the Group receptionist whose telephone number is (703) 308-1113.

N. Subramanian August 5, 2003

Richard Weisberger Primary Examiner

Exhibit LFN-3

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Date

September 12, 2003

To:

Group Receptionist Art Unit 3624

Fax No.:

0011 1 703 305 7687 (not responding) 672.9325

Subject

United States Patent Application No. 09/607,843

Inventors/Assignors: Kia Silverbrook, Paul Lapstun and Jacqueline Anne

Lapstun

Assignee: SILVERBROOK RESEARCH PTY LTD

Our Ref:

NPA063US

Total Number of Pages (including this); 5

Attached is a response to the Office Action, of Examiner Narayanswamy Subramanian, dated August 13, 2003.

Regards

PP.

Leonie News

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Regards

P.

Leonie News